



**Reslnnova** LABORATORIES

August 21, 2020

To: Max Munn Max  
Munn, LLC  
50 N. Macquesten Parkway  
Mount Vernon, NY 10550

From: Matthew Hardwick, PhD  
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Silver Spring, MD 20910

Dear Mr. Munn,

Enclosed you will find several reports for the antibacterial and antiviral testing of two UV-emitting mirror prototypes and the final UV-emitting production unit. The wall-mounted mirrors were tested for their ability to kill three healthcare-relevant bacteria: *Clostridium difficile* spores (*C diff*), *Escherichia coli* (*E coli*), and methicillin-resistant *Staphylococcus aureus* (MRSA). Testing was also performed with H1N1 influenza A virus and OC43 human beta coronavirus. Testing occurred over several time points and samples were placed in various locations on a vanity/sink.

Within this report, you will find the graphs summarizing the data. A great deal of data was generated during the course of this project that did not end up in this report. All data is available for your review should you request it. The conclusions drawn from this project are listed on the last page of this report. Please notify me if you wish additional testing of this material and/or further analysis of the results contained within this report.

Sincerely,

Matthew Hardwick, PhD  
President and CEO

# Exhibit A

## Final Report

### Purpose

We performed antimicrobial testing of three UV-emitting mirrors. The wall-mounted mirrors were tested for their ability to kill three healthcare-relevant bacteria: *Clostridium difficile* spores (*C diff*), *Escherichia coli* (*E coli*), and methicillin-resistant *Staphylococcus aureus* (MRSA). Testing occurred over several time points and samples were placed in various locations on a vanity/sink. The goals of this project were:

1. Determine the antimicrobial efficacy of the mounted UV LEDs against *C diff* spores, *E coli*, and MRSA;
2. Determine the optimal operating conditions to kill 4 logs (99.99%) of each bacterium.

### Materials and Methods

UV-emitting Mirrors (provided by Max Munn)

- Prototype 1 with a UV LED strip mounted parallel to the bottom of the mirror and recessed from the bottom of the mirror. A UV LED strip was also mounted inside the mirror.
- Prototype 2 with a UV LED strip mounted flush with the bottom of the mirror at an approximate 45° angle facing away from the mirror.
- Production unit with a UV LED strip mounted parallel to the bottom of the mirror and recessed from the bottom of the mirror and another mounted flush with the bottom of the mirror at an approximate 45° angle facing away from the mirror.

Bacteria

- *Clostridium difficile* (*C diff*) spores (ATCC BAA-1870)
- *Escherichia coli* (*E coli*) (ATCC 25922)
- Methicillin-resistant *Staphylococcus aureus* (MRSA) (ATCC 33591)

Bacterial Sample Preparation:

- *C diff*: Approximately  $1 \times 10^6$  spores were plated onto magnetic steel discs and allowed to dry.
- *E coli* and MRSA: A thin layer of tryptic soy agar was plated onto magnetic steel discs and allowed to dry. Then, approximately  $1 \times 10^6$  colony forming units (CFUs) were layered on top of the agar.
  - *The agar layer was necessary as plating E coli and MRSA directly onto magnetic steel discs resulted in poor bacterial recovery.*

Sample placement:

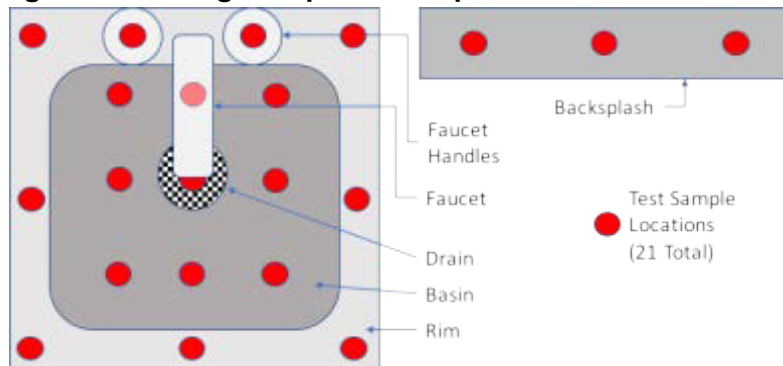
- Samples were placed on several locations on a vanity/sink. See Figure 1 for placement and overall setup.

Bacterial Recovery and Evaluation:

- At the indicated time points, discs were recovered into a labeled 15ml tube containing 10ml phosphate-buffered saline (PBS) + 0.1% Trion X-100 and vortexed for 1 minute. 0.1ml of the recovery solution was then transferred either onto agar plates (see below) or into dilution tubes for further dilution. Agar plates were then incubated for 24 to 48 hours. Log reductions were determined by comparing colony numbers from test samples to control samples (samples not exposed to UV).
  - *C diff*: brain heart infusion agar with incubation in an anaerobic chamber at 37°C.
  - *E coli* and MRSA: tryptic soy agar with incubation in a humidified chamber at 37°C.

# Exhibit A

**Figure 1: Testing Setup and Sample Placement**



## Results

We tested the bacteria killing ability of three Max Munn UV-emitting mirrors. The first prototype we received demonstrated robust reduction of spore viability on backsplash surfaces. The compiled results after testing of both prototypes are presented in Figure 2. After one hour exposure, reductions approached 3 logs (99.9%) and surpassed 4 logs (99.99%) after 2 hours (Figure 2, Backsplash). This first prototype, however, had several deficiencies as it failed to reduce spore viability on faucet handles and on surfaces greater than 7 inches from the light source. It was decided by Max Munn to create a new prototype based on these results and we discontinued testing on the first prototype.

The second prototype increased the reach of the UV achieving a 2.94 log reduction of *C diff* spores on the sink side edges (the rim of the sink approximately 14 inches away from the light source) after 2 hours. The second prototype also improved UV exposure to faucet handles achieving greater than 4 log spore reductions were seen after just 1 hour and 3.5 log reductions were seen at the sink drain after 2 hours of exposure. This prototype also showed a 3.56 log reduction in spores at the sink drain (no reductions were seen in the first prototype). No reductions were seen in the sink at points immediately adjacent to the sink wall proximal to the UV source or on the distal sink edge (data not shown). This prototype also failed to deliver adequate UV to the backsplash (data not shown).

We were also able to test the ability of the second prototype to kill both *E coli* and MRSA. Testing against *E coli* demonstrated greater than 4 log reductions for both faucet handles and the drain after just 30 min (Figure 3). Regardless of how long we exposed the sinks to UV, the mirror failed to produce greater than a 1.9 log reduction on the edges of the sink (approximately 14 inches from the UV source). For the faucet handles and sink drain, MRSA results were similar to *E coli*, with greater than 4 log reductions seen within an hour of exposure to UV (Figure 4). On the sink side edges, however, MRSA demonstrated a greater than 4 log reduction after an hour of UV exposure. For neither *E coli* or MRSA were bacterial reductions seen in the sink at points immediately adjacent to the sink wall proximal to the UV source or on the distal sink edge (data not shown).

## Exhibit A

We tested the bacteria killing ability of the production unit against MRSA. After 2 hours of exposure, a greater than a 4 log reduction was demonstrated on the the drain. A greater than 6 log reduction was demonstrated on the faucet handles, the edges of the sink, as well as the backsplash.

## Exhibit A

Figure 2: UV Reduction of *C diff* Spore Recovery on Vanity/Sink Surfaces

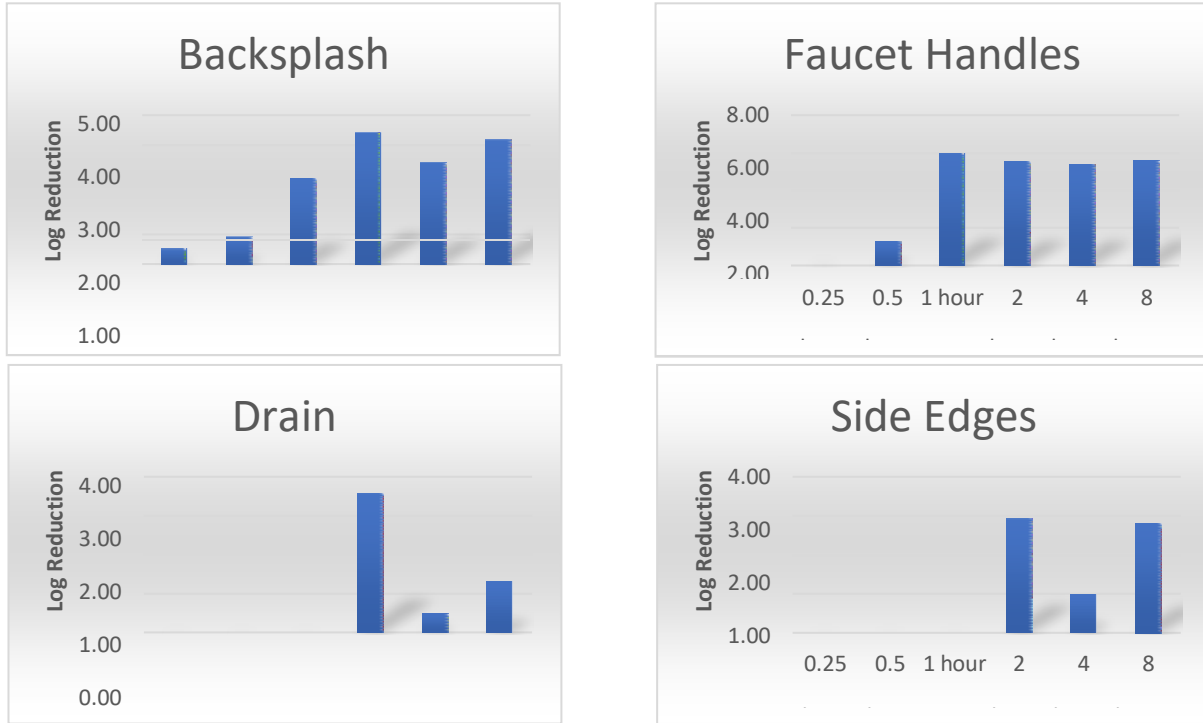
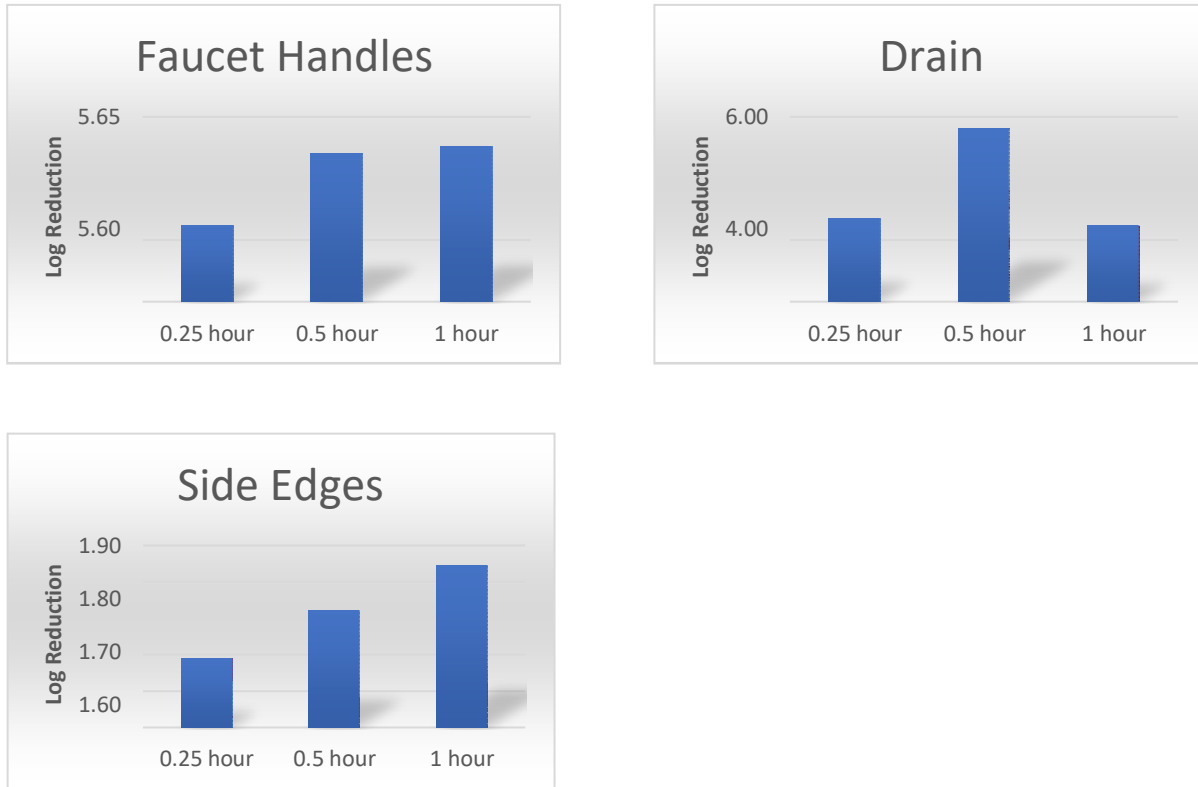


Figure 3: UV Reduction of *E. coli* Recovery on Vanity/Sink Surfaces



# Exhibit A

Figure 4: UV Reduction of MRSA Recovery on Vanity/Sink Surfaces

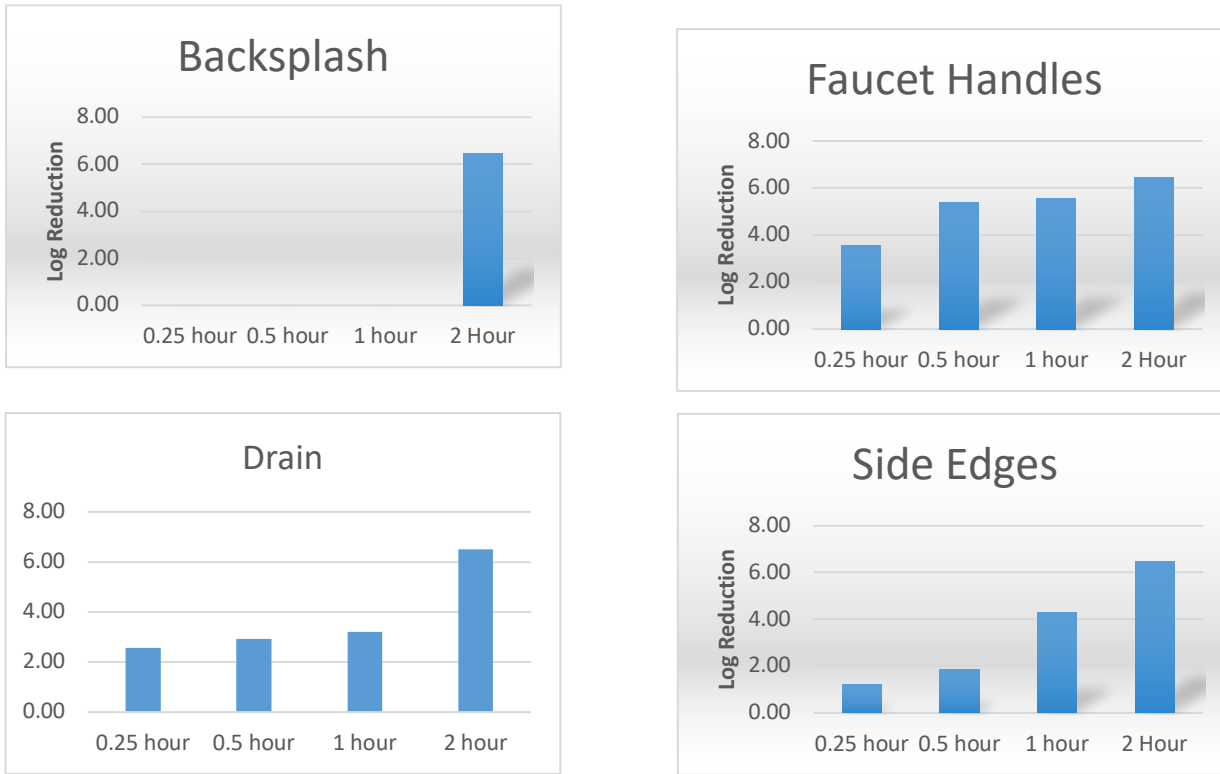


Figure 5: UV Reduction of MRSA after 2 Hours

**Results**

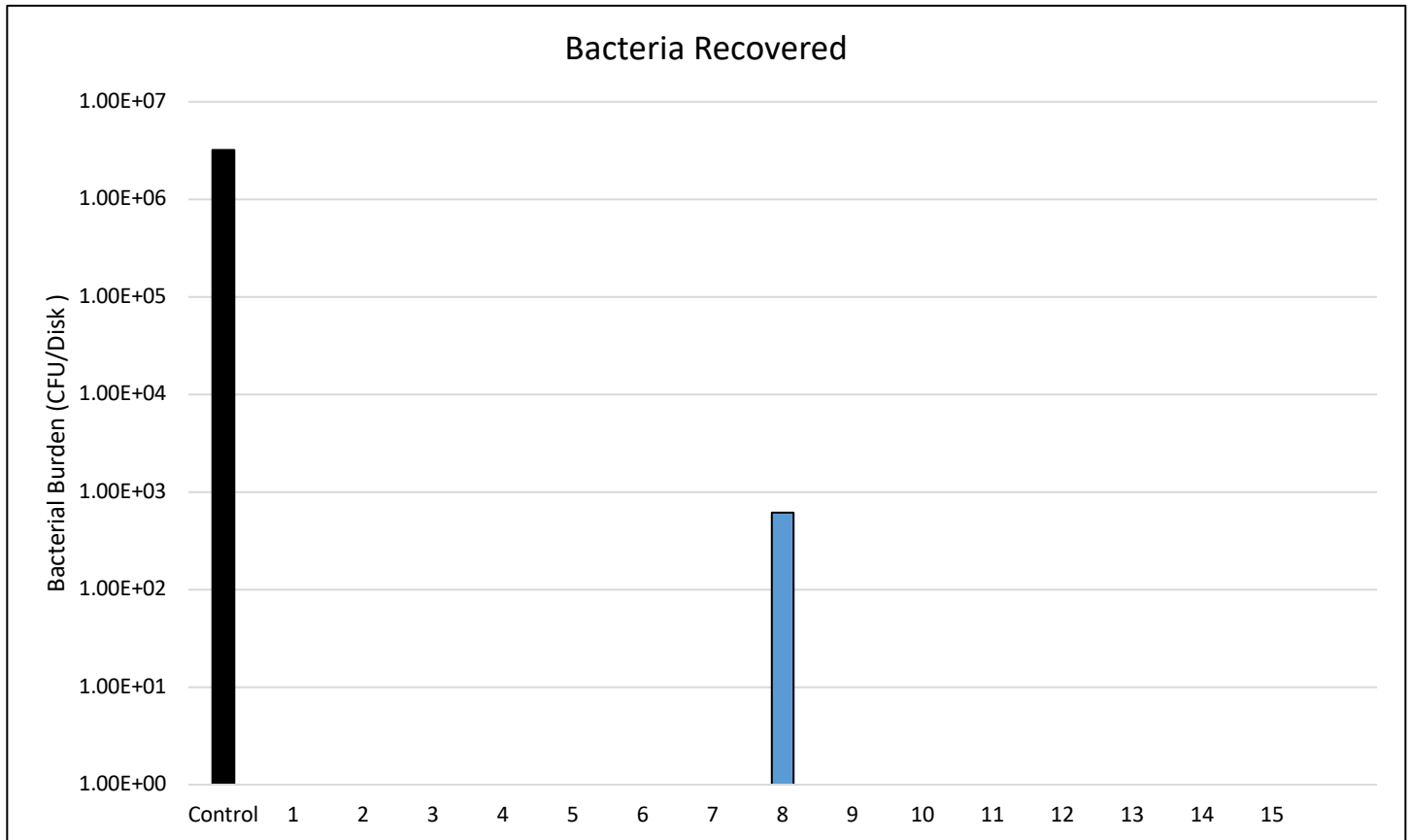
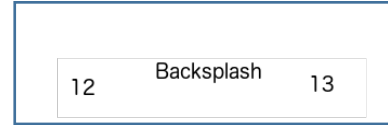
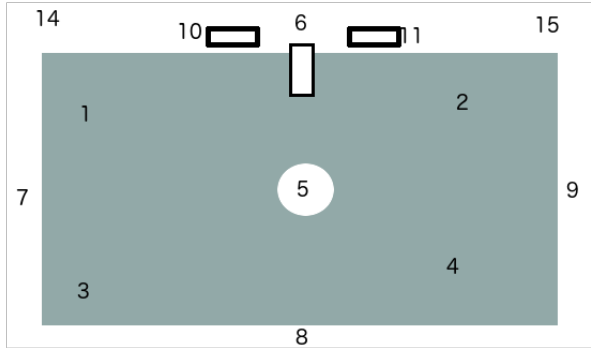
| Modified ASTM E2197: Standard Quantitative Disk Carrier Test Method |                     |
|---|---------------------|
| Number of Replicate Experiments                                     | 3                   |
| Average Concentration of Inoculum (CFU/mL)                          | 2.99E+08 = 8.48 log |
| Average Control Recovery (CFU/mL)                                   | 3.20E+06 = 6.51 log |

**Exposure Time: 2 hours**

| Location | Average Bacteria Recovered (CFU/disk) | Average Log Recovery | Average Log Reduction (vs Control) | Average Percent Reduction (vs Control) | Standard Error of the Mean |
|----------|---------------------------------------|----------------------|------------------------------------|--|----------------------------|
| 1        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 2        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 3        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 4        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 5        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 6        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 7        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 8        | 6.17E+02                              | 2.79                 | 3.69                               | 99.98%                                 | 1.82                       |
| 9        | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |
| 10       | 0.00E+00                              | 0.00                 | 6.51                               | 100.00%                                | 0.00                       |

# Exhibit A

|    |          |      |      |         |      |
|----|----------|------|------|---------|------|
| 11 | 0.00E+00 | 0.00 | 6.51 | 100.00% | 0.00 |
| 12 | 0.00E+00 | 0.00 | 6.51 | 100.00% | 0.00 |
| 13 | 0.00E+00 | 0.00 | 6.51 | 100.00% | 0.00 |
| 14 | 0.00E+00 | 0.00 | 6.51 | 100.00% | 0.00 |
| 15 | 0.00E+00 | 0.00 | 6.51 | 100.00% | 0.00 |



## Exhibit A

Figure 6: UV Reduction of H1N1 after 2 hours

### Results

| Modified ASTM E2197: Standard Quantitative Disk Carrier Test Method |                                     |                      |                                    |  |
|---|-------------------------------------|----------------------|------------------------------------|--|
| Number of Replicate Experiments                                     |                                     | 1                    |                                    |  |
| Average Concentration of Inoculum (FFU/mL)                          |                                     | 3.55E+04 = 4.55 log  |                                    |  |
| Average Control Recovery (FFU/mL)                                   |                                     | 3.72E+04 = 4.56 log  |                                    |  |
| Location  | Average Virus Recovered (FFU/ disk) | Average Log Recovery | Average Log Reduction (vs Control) | Average Percent Reduction (vs Control) |
| Sink Backsplash   | 5                                   | 0.70                 | 4.06                               | >99.99%                                |
| Sink Edge   | 72.5                                | 1.86                 | 2.91                               | 99.81%                                 |
| Sink Drain  | 135                                 | 2.13                 | 3.00                               | 99.90%                                 |
| Drain Pipe 1  | 2.5                                 | 0.40                 | 4.21                               | >99.99%                                |

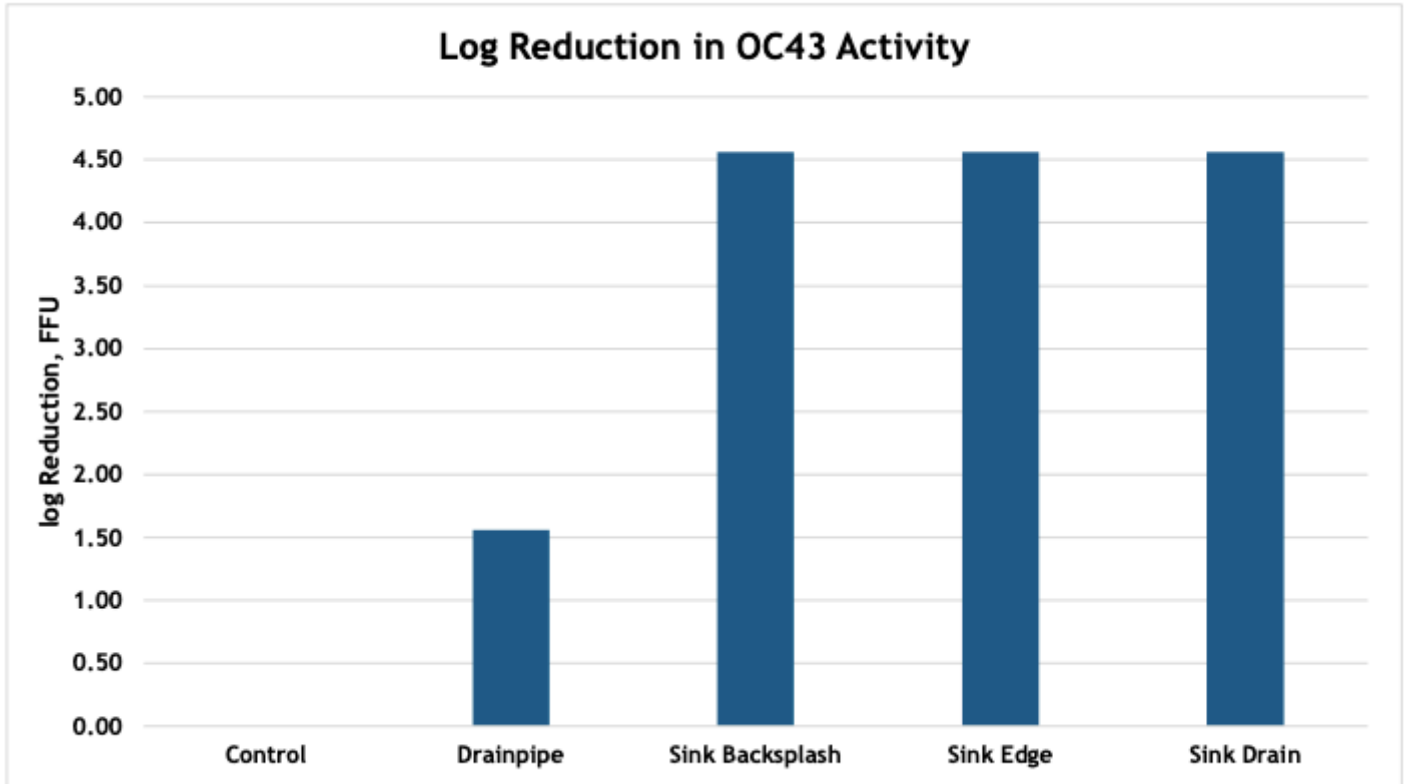
Figure 7: UV Reduction of OC43 after 2 hours

### Results

| Modified ASTM E2197: Standard Quantitative Disk Carrier Test Method |                                     |                                       |                                    |  |
|---|-------------------------------------|---------------------------------------|------------------------------------|--|
| Number of Replicate Experiments                                     |                                     | 1                                     |                                    |  |
| Average Control Recovery ± S.E.M. (FFU/mL)                          |                                     | 3.62E+04 ± 0.69E+04 = 4.55 ± 3.84 log |                                    |  |
| Location  | Average Virus Recovered (FFU/ disk) | Average Log Recovery                  | Average Log Reduction (vs Control) | Average Percent Reduction (vs Control) |
| Sink Backsplash   | 0                                   | NA                                    | 4.56                               | >99.99%                                |
| Sink Edge   | 0                                   | NA                                    | 4.56                               | >99.99%                                |
| Sink Drain  | 0                                   | NA                                    | 4.56                               | >99.99%                                |
| Drainpipe 1   | 1.00E+3                             | 3.00                                  | 1.56                               | 97.24%                                 |



# Exhibit A



## Discussion

There were several anomalous results during testing. Several data points seen in Figures 2 and 3 show that longer UV exposures do not improve bacterial reduction outcomes. This phenomenon is particularly true at the drain and sink edges for all three organisms. It should be noted that a major failing of all UV technologies is shadowing. For our simulated sink testing (Figure 1) we used a rotating faucet. It is possible that, for the drain test points, the faucet may have been moved relative to the position of our test sample resulting in a shadow effect that reduced the UV exposure at that test point. The sink we used for testing has beveled edges. Any shifting in the position of the sink edge test samples may have resulted in a dramatic effect on the UV exposure to the sample (another example of shadowing). Shadowing, however, does dramatically limit the utility of this technology on surfaces immediately adjacent to the sink wall proximal to the UV source and to surfaces greater than 22 inches from the UV source (data not shown). Regardless, there is ample evidence that significant bacterial reductions are achieved at critical areas such as the backsplash, faucet handles, drain and sink edges.

## Conclusions

- The first prototype demonstrated dramatic *C diff* spore reductions on backsplash samples:
  - Backsplash
    - > 4 logs after 2 hour exposure.
- The second prototype demonstrated dramatic reductions with *C diff* spores, *E coli*, and MRSA on several critical sink areas:
  - Faucet Handles
    - *C diff* spores: > 4 logs after 1 hour exposure;
    - *E coli*: > 4 logs after 30 minute exposure;
    - MRSA: > 4 logs after 30 minute exposure.
  - Drain
    - *C diff* spores: > 3 logs after 2 hour exposure;
    - *E coli*: > 4 logs after 30 minute exposure;
    - MRSA: > 4 logs after 30 minute exposure.
  - Side Edges
    - *C diff* spores: almost 3 logs after 2 hour exposure;
    - *E coli*: almost 2 logs after 1 hour exposure;
    - MRSA: almost 2 logs after 1 hour exposure.
- The production model demonstrated demonstrated dramatic reductions with MRSA on several critical sink areas
  - Faucet Handles
    - MRSA > 6 logs afer 2 hour exposure
  - Drain
    - MRSA > 4 logs after 2 hour exposure
  - Side Edges
    - MRSA > 6 logs after 2 hour exposure
  - Backsplash
    - MRSA > 6 logs after 2 hour exposure
- A 2 hour non-stop operation of the UV-emitting mirror has shown a dramatic antimicrobial effect on the bathroom vanity/sink area.
- Future prototypes that provide at least 2 UV LED units (1 placed as on prototype 1 and the other placed as on prototype 2) should provide adequate coverage of most areas of the bathroom vanity and sink.
  - Exceptions include the sink wall proximal to the UV LED units and areas greater than 22 inches distal to the UV LED units.
- More testing is needed to conclusively determine the effect of the UV-emitting mirrors on organisms other than *C diff*, *E coli* and MRSA.
  - However, it may be reasonably assumed that the mirrors should be effective against other Gram-negative bacteria, such as *Legionella pneumophila*, and Gram-positive bacteria, such as *Streptococcus* species.

